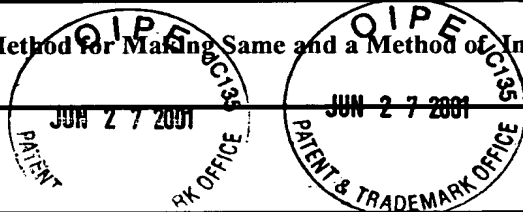


06-28-01
1999 Rec'd PCT/PTO 27 JUN 2001

FORM PTO-1390 (Modified) (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER USM1901
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) 09/869451
INTERNATIONAL APPLICATION NO. PTC/6B99/04103		INTERNATIONAL FILING DATE 6 December 1999		PRIORITY DATE CLAIMED 9 January 1999
TITLE OF INVENTION Shoe Stiffener, Material and Method for Making Same and a Method of Incorporating a Shoe Stiffener into a Shoe				
APPLICANT(S) FOR DO/EO/US Arnold, Brian				



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ A copy of the International Search Report (PCT/ISA/210).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

Form PCT/IPEA/402
Form PCT/IPEA/416
Form PCT/1B/308

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) 09/869431		INTERNATIONAL APPLICATION NO. PTC/6B99/04103		ATTORNEY'S DOCKET NUMBER USM1901	
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21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <ul style="list-style-type: none"> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p>				CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; height: 100px; width: 100%;"></div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<div style="border: 1px solid black; width: 100%; height: 20px; margin-bottom: 5px;"></div> <div style="border: 1px solid black; width: 100%; height: 20px;"></div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	17 - 20 =	0	x \$18.00	\$0.00	
Independent claims	3 - 3 =	0	x \$80.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/>				\$270.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,130.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				\$0.00	
SUBTOTAL =				\$1,130.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
TOTAL NATIONAL FEE =				\$1,130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>				\$40.00	
TOTAL FEES ENCLOSED =				\$1,170.00	
				Amount to be refunded	\$
				charged	\$

☒ A check in the amount of **\$1,170.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

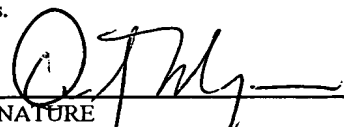
☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **13-2551** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Owen J. Meegan
 Owen J. Meegan & Associates
 24 North Street
 Salem, MA 01970

 (978) 741-4135


 SIGNATURE
 Owen J. Meegan
 NAME
 19,643
 REGISTRATION NUMBER
 6-25-01
 DATE

CERTIFICATE OF MAILING

5 I hereby certify that this paper, along with any paper re-
ferred to herein as being attached or enclosed, is being
deposited on the date shown below with the United States
Postal Service in an envelope addressed to the Assistant for
10 Patents, Washington, DC 20231, with sufficient postage as
first class mail.

Date: June 27, 2001

15 Eileen McGoldrick (Signature)

Eileen McGoldrick
(Printed Name)

20
USM1901
PCT/GB99/04103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

25 Applicant : Arnold, Brian Group No.:
Serial No.: Examiner :
Filed : HEREWITH
For : Shoe Stiffener, Material and Method for Making
30 Same and a Method of Incorporating a Shoe Stiffener into a
Shoe.

Assistant Commissioner for Patents
Washington, DC 20231

35

CERTIFICATE OF MAILING "EXPRESS MAIL" (37 CFR 1.10)		Docket No. USM1901	
Applicant(s): Arnold, Brian			
Serial No. 09/869451	Filing Date HEREWITH	Examiner	Group Art Unit
Invention: Shoe Stiffener, Material and Method for Making Same and a Method of Incorporating a Shoe Stiffener into a Shoe			
<p>I hereby certify that this <u>Patent Application</u> <small>(Identify type of correspondence)</small></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>June 27 2001</u> <small>(Date)</small></p> <p style="text-align: right;"><u>Eileen McGoldrick</u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small></p> <p style="text-align: right;"><u>Eileen McGoldrick</u> <small>(Signature of Person Mailing Correspondence)</small></p> <p style="text-align: right;"><u>EJ185789363US</u> <small>("Express Mail" Mailing Label Number)</small></p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>			